

P23849.A01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :Maurice W. HAFF

Group Art Unit: 2175

Serial No :10/657,221

Examiner:

Filed :September 9, 2003

For :FILE TRANSFER SYSTEM

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir :

In accordance with Applicants' duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby submit the following Information Disclosure Statements under 37 C.F.R. § 1.97 and § 1.98 and in conformance with MPEP § 609.

Applicants hereby make of record the Information Disclosure Statement filed September 13, 2002 and the information cited in the Office Actions mailed October 29, 2003, May 19, 2003 and November 25, 2002 in parent application 10/167,697. Applicants also hereby make of record the Information Disclosure Statements filed January 23, 2001, and the information cited in the Office Action mailed August 30, 2001 in parent application 09/694,472 (now U.S. Patent No. 6,442,571). Additionally, Applicants hereby make of record the Information Disclosure Statements filed April 7, 1999; December 17, 1999 and May 1, 2000 and the information cited in the Office Actions mailed June 7, 2000 and January 31, 2000 in parent application 09/190,219 (now U.S. Patent No. 6,129,619).

The Examiner is respectfully requested to consider the documents cited in the above mentioned Information Disclosure Statements and to make the documents therein of record in the instant application.

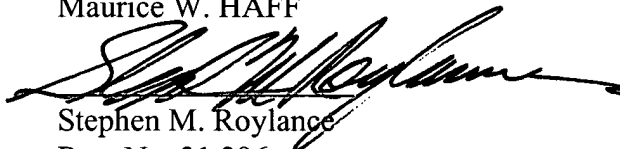
Applicants note that copies of the documents cited in the parent applications were either submitted with the above mentioned Information Disclosure Statements or were made of record by the Examiner. Accordingly, pursuant to procedure set forth in MPEP § 609 and 37 C.F.R. § 1.98(d), the Examiner is invited to review and consider the documents in the parent applications.

For the convenience of the Examiner, the documents cited in the parent applications are listed on the attached PTO-1449 form. The Examiner is requested to initial the PTO-1449 form and return the initialed copy with the next communication from the U.S. Patent and Trademark Office.

If the Examiner has any questions, the Examiner is invited to contact the undersigned at the below listed telephone number.

Respectfully submitted,

Maurice W. HAFF



Stephen M. Roylance  
Reg. No. 31,296

December 9, 2003  
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Form PTO-1449  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. P23849	Serial No. 10/657,221
	Applicant M. HAFF et al.		
	Filing Date September 9, 2003	Group 2175	

## U.S. PATENT DOCUMENTS

EXAMINE R INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3 6 4 8 2 5 6	03/07/72	PAINE et al.			
	4 2 2 5 9 1 8	09/30/80	BEADLE et al.			
	4 8 9 1 7 8 5	01/02/90	DONOHOO			
	4 9 7 5 8 3 0	12/04/90	GERPHEIDE et al.			
	5 1 4 2 6 2 2	08/25/92	OWENS			
	5 2 1 0 8 6 9	05/11/93	WILLIAMS			
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	5 3 1 9 7 7 6	06/07/94	HILE et al.			
	5 3 3 3 1 5 2	07/26/94	WILBER			
	5 3 9 4 5 2 1	02/28/95	HENDERSON et al.			

## FOREIGN PATENT DOCUMENTS


DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO


## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


1	OLIPHANT, "Power Programming: Windows-toUnix Sockets," PC Magazine, February 18, 1997.
2	POMPILI, "Multiple Personalities," PC Magazine, May 27, 1997.
3	VERTHEIN et al., "Networking Software," PC Magazine, December 17, 1996.
4	ZAGAESKI, "Report: Micro Tempus TCS Is High-Function File Transfer Solution That Is More Flexible Than Competitors," http://www.microtempus.com/press/giga_report.html, May 26, 1998, printed October 19, 1998.
5	"Anyware Technology-Your secure remote access solution!," http://www.anywaretechnology.com/support/techfaqs.html, printed October 19, 1998.
6	"Battle Group Information Exchange System (BGIXS) Upgrade Page," http://www.asclab.com/bgixs/bgixs2.html, printed October 19, 1998.


EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		5	4	0	8	3 3 3	04/18/95	KOJIMA et al.		
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		5	4	4	2	7 5 0	08/15/95	HARRIMAN Jr. et al.		
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<b>FOREIGN PATENT DOCUMENTS</b>										
		DOCUMENT NUMBER				DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>										
		7	"CA-XCOM®: Enterprise-Wide Data Transport Solution," Computer Associates® Brochure, Copyright 1997-1998.							
		8	"CA-XCOM for Windows95 Product Information," <a href="http://www.cai.com/products/xcom_win_95.htm">http://www.cai.com/products/xcom_win_95.htm</a> , printed October 19, 1998.							
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		5	6	1	9	6	3	8	04/08/97	DUGGAN et al.			
		5	6	2	3	6	4	4	04/22/97	SELF et al.			
		5	6	3	0	0	6	0	05/13/97	TANG et al.			
		5	6	3	0	0	6	3	05/13/97	McCONNELL			
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		5	6	5	2	9	0	5	07/29/97	SHINJO et al.			
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		14	"CONNECT: Direct for Windows 95," <a href="http://www.stercomm.com/pdsv/ploc/conn/drec/cd-win 95.html">http://www.stercomm.com/pdsv/ploc/conn/drec/cd-win 95.html</a> , printed October 19, 1998										
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		5	7	4	2	7	6	2	04/21/98	SCHOLL et al.			
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<b>FOREIGN PATENT DOCUMENTS</b>													
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<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>													
		18	"DOWNLOAD.COM--DropChute+," <a href="http://www.download.com/PC/Result/TitleDetail/0,4,0-50652,1000.html?st.dl.results.list.tdtl">http://www.download.com/PC/Result/TitleDetail/0,4,0-50652,1000.html?st.dl.results.list.tdtl</a> , printed September 16, 1998.										
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO		
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>									
		29	"Hilgraeve Announces DropChute Enterprise," printed January 1999.						
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		35	"QEC" QEC Technology Corporation Brochure, Copyright © 1995-97 (as revised November 1997 or later).						
		36	"Rucksack-an overview," <a href="http://www.digicorp.co.uk/rucksack/rcksck_lit.htm">http://www.digicorp.co.uk/rucksack/rcksck_lit.htm</a> , printed October 19, 1998.						
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		41	"ThorComm WebSite," <a href="http://209.136.106.52/thorcomm/">http://209.136.106.52/thorcomm/</a> , printed October 19, 1998						
		42	User's Manual "DropChute+: Personal File Delivery Software," printed September 16, 1998.						
		43	User's Manual "Gigabyte Express™ for windows," Copyright 1997-1998, printed June 1998..						
		44	Doolittle, Sean "The Scoop On File-Sharing Services, Get By With A Little Help From Your Peers," Dec. 2000, V.11, Issue 12, Pages 1-6 (pages 30-33 in print issue).						
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		5	9	1	7	9	1	2	06/1999	GINTER et al.			
		5	8	1	3	0	0	9	09/01/98	JOHNSON et al.			
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